

# PhD Course on Vehicle Dynamics Control. Adaptive Cruise Control

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**CHALMERS**

# Lecture content

- ➊ Main Concepts in ACC
- ➋ Operating modes
- ➌ Control design in vehicle following mode
  - ➊ requirements
  - ➋ constant spacing
  - ➌ constant time gap
- ➍ Transition logics

**Note:** The following notes have been extracted from

- “Vehicle Dynamics and Control” by R. Rajamani

# Lecture content

## ● Main Concepts in ACC

# Main concepts and intro

## ACC objectives

- 1 Maintaining a constant vehicle longitudinal speed in absence of preceding vehicles
- 2 Maintaining a “safe” distance from the preceding (slower) vehicle, if any

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## Actuators

- ➊ Engine
- ➋ Brakes

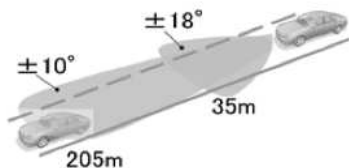
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## Sensors

- ➊ Speed sensor (odometer)
- ➋ Radar
  - ▶ Range through reflections
  - ▶ Range rate through doppler effect

**Note.** The ACC is an “autonomous” system. I.e., no wireless

# Main concepts and intro

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# Main concepts and intro

- First introduced in Japan in early nineties
- Originally thought as a “comfort and convenience” system
- According statistics (over 90% highways accident cause by human errors<sup>1</sup>) may impact safety as well
- Basis of many automated driving systems available on the market

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# Lecture content

- 1 Main Concepts in ACC
- 2 Operating modes

# Operating modes

## Modes

- Speed control

When no vehicle is in front



# Operating modes

## Modes

- 1 Speed control
- 2 Vehicle following. I.e., maintaining a “safe” distance from the preceding (slower) vehicle, if any

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When a vehicle is in front



Vehicle-following driving is performed to maintain the specified distance between vehicles.



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...+ logics for

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...+ logics for

- 1 “smoothly” switching between the two modes
- 2 handling, e.g., cut-in and cut-out maneuvers

# Lecture content

- 1 Main Concepts in ACC
- 2 Operating modes
- 3 Control design in vehicle following mode
  - 1 requirements



# Vehicle following. Control requirements

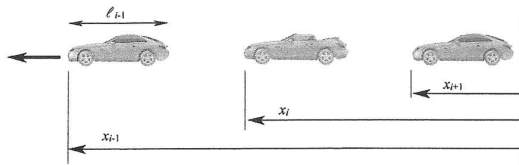
## Individual vehicle stability

Define the spacing error as

$$\delta_i = x_i - x_{i-1} + L_{des}.$$

The ACC provide individual vehicle stability if

$$\ddot{x}_{i-1} \rightarrow 0 \Rightarrow \delta_i \rightarrow 0$$

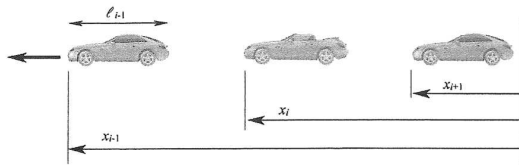


# Vehicle following. Control requirements

## String stability

The *string stability* property implies that, during velocity transients, the non-zero spacing errors do not amplify toward the tail of a string of ACC vehicles<sup>a</sup>

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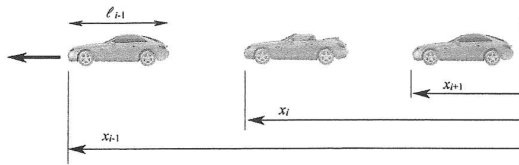


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Individual vehicle stability is trivial. *We will focus on string stability*

# Vehicle following. Vehicle model

## Assumptions

- Two level hierarchical control
- Upper level calculates a desired acceleration to meet the control requirements
- Lower level calculates the engine and brake low level control inputs

Hence, model the  $i$ -th vehicle as either a double integrator

$$\ddot{x}_i = u_i,$$

or as

$$\ddot{x}_i = \frac{e^{-s\tau}}{a + sT} u_i,$$

where  $u_i = \ddot{x}_{i_{des}}$ . Typically,

$$-5m/s^2 \leq \ddot{x} \leq 2m/s^2$$

# Vehicle following. String stability

## Definition

Define

$$H(s) = \frac{\delta_i(s)}{\delta_{i-1}(s)}.$$

The chain of ACC vehicles is string stable if<sup>a</sup>

•  $\|H(s)\|_\infty \leq 1$

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More rigorous explanation follows

## ...short detour to norms for signals and systems

### Definitions (signals)

Consider a signal  $u(t) : t \in [-\infty, \infty] \rightarrow u \in \mathbb{R}$ . Define the following norms

1 **1-Norm**  $\|u\|_1 = \int_{-\infty}^{\infty} |u(t)| dt$

### Definitions (systems)

Consider a linear, time-invariant, causal system  $y = g * u$ , where  $g$  is the impulse response and  $G = \mathcal{L}(g)$

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# Useful results on gains<sup>2</sup>

## 2-norm/2-norm gain

Consider the system  $y = g * u$ , with  $G = \mathcal{L}(g)$ .

$$\|G\|_{\infty} = \sup \frac{\|y\|_2}{\|u\|_2}$$

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<sup>2</sup>Doyle, Francis, Tannenbaum, 1992

# Useful results on gains

## 2-norm/2-norm gain

Consider the system  $y = g * u$ , with  $G = \mathcal{L}(g)$ .

$$\|G\|_{\infty} = \sup \frac{\|y\|_2}{\|u\|_2}$$

## Proof.

By the Parseval's theorem

$$\begin{aligned}\|y\|_2^2 &= \|Y\|_2^2 = \frac{1}{2\pi} \int_{-\infty}^{\infty} |G(j\omega)|^2 |U(j\omega)|^2 d\omega \\ &\leq \|G\|_{\infty}^2 \frac{1}{2\pi} \int_{-\infty}^{\infty} |U(j\omega)|^2 d\omega \\ &= \|G\|_{\infty}^2 \|U\|_2^2 = \|G\|_{\infty}^2 \|u\|_2^2\end{aligned}$$





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Show now that  $\|G\|_{\infty}$  is the least upper bound on the 2-norm/2-norm gain.



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Show now that  $\|G\|_{\infty}$  is the least upper bound on the 2-norm/2-norm gain.

Choose  $u$  such that  $\|u\|_2 = 1$  and show that  $\|Y\|_2^2 = \|G\|_{\infty}^2$



# Useful results on gains

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Apply the Cauchy-Schwarz inequality

$$\begin{aligned} |y(t)| &= \left| \int_{-\infty}^{\infty} g(t - \tau) u(\tau) d\tau \right| \\ &\leq \left( \int_{-\infty}^{\infty} g(t - \tau)^2 d\tau \right)^{1/2} \left( \int_{-\infty}^{\infty} u(\tau)^2 d\tau \right)^{1/2} \\ &= \|g\|_2 \|u\|_2 = \|G\|_2 \|u\|_2 \end{aligned}$$

Hence  $\|y\|_\infty \leq \|G\|_2 \|u\|_2$



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Proof follows the same steps as before



## Useful results on gains

### $\infty$ -norm gain/2-norm

Consider the system  $y = g * u$ , with  $G = \mathcal{L}(g)$ .

$$\frac{\|y\|_2}{\|u\|_\infty} = \infty$$

## Useful results on gains

### $\infty$ -norm gain/ $2$ -norm

Consider the system  $y = g * u$ , with  $G = \mathcal{L}(g)$ .

$$\frac{\|y\|_2}{\|u\|_\infty} = \infty$$

### Proof.

Choose a sinusoidal input signal with frequency  $\omega$ , such that  $\omega$  is not a zero of  $G$ . Hence  $\|u\|_\infty = 1$  and  $\|y\|_2^2$  is unbounded  $\square$

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## $\infty$ -norm/ $\infty$ -norm gain

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$$\begin{aligned} |y(t)| &= \left| \int_{-\infty}^{\infty} g(t-\tau)u(\tau)d\tau \right| \leq \int_{-\infty}^{\infty} |g(t-\tau)u(\tau)| d\tau \\ &\leq \int_{-\infty}^{\infty} |g(t-\tau)| d\tau \|u\|_\infty = \|g\|_1 \|u\|_\infty \end{aligned}$$

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## Useful results on gains<sup>2</sup>

If  $g(t) > 0 \forall t \geq 0$  then  $\|g\|_1 = \|G\|_\infty$

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<sup>2</sup>Swaroop, 1995

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Proof.

Be  $\gamma_p = \sup \frac{\|y\|_p}{\|u\|_p}$  for a induced  $p$ -norm. Since  $\frac{\|y\|_p}{\|u\|_p} \leq \|g\|_1$ ,

$$|G(0)| \leq \|G(j\omega)\|_\infty \leq \gamma_p \leq \|g\|_1.$$

If  $g(t) > 0$  then

$$|G(0)| = \left| \int_0^\infty g(\tau) d\tau \right| \leq \int_0^\infty |g(\tau)| d\tau = \|g\|_1$$



## In summary

Table: System gains

	$\ u\ _2$	$\ u\ _\infty$
$\ y\ _2$	$\ G\ _\infty$	$\infty$
$\ y\ _\infty$	$\ G\ _2$	$\ g\ _1$

Moreover, if  $g(t) > 0 \ \forall t \geq 0$  then  $\|g\|_1 = \|G\|_\infty$

# Vehicle following. String stability

## Definition

Define

$$H(s) = \frac{\delta_i(s)}{\delta_{i-1}(s)}.$$

The chain of ACC vehicles is string stable if<sup>a</sup>

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The main objective is to obtain

$$\|\delta_i\|_\infty \leq \|\delta_{i-1}\|_\infty,$$

i.e.,  $\|h\|_1 \leq 1$ . This is equivalent to  $\|H\|_\infty \leq 1$ , with the additional condition  $h(t) > 0, \forall t \geq 0$ .

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# Constant spacing control design

Define the *inter-vehicle spacing* as

$$\epsilon_i = x_i - x_{i-1} + \ell_{i-1},$$

where  $\ell_{i-1}$  is the length of the  $i - 1$ -th vehicle.

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Consider a double integrator model for the vehicle and a *linear PD controller*

$$\ddot{x}_i = -k_p \delta_i - k_v \dot{\delta}_i$$

# Constant spacing control design

Differentiate twice the spacing error

$$\ddot{\delta}_i = \ddot{x}_i - \ddot{x}_{i-1} = -k_p \delta_i - k_v \dot{\delta}_i + k_p \delta_{i-1} + k_v \dot{\delta}_{i-1}$$

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Rearranging leads to the closed-loop error dynamics

$$\ddot{\delta}_i + k_v\dot{\delta}_i + k_p\delta_i = k_p\delta_{i-1} + k_v\dot{\delta}_{i-1},$$

corresponding to the transfer function

$$H(s) = \frac{\delta_i(s)}{\delta_{i-1}(s)} = \frac{k_p + k_v s}{s^2 + k_v s + k_p}$$

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$$H(s) = \frac{\delta_i(s)}{\delta_{i-1}(s)} = \frac{k_p + k_v s}{s^2 + k_v s + k_p}$$

**Problem.** Find  $k_p$ ,  $k_v$  such that

$$\|H\|_\infty \leq 1$$

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***Solution.*** For individual vehicle stability, it must be  $k_v, k_p > 0$ .

## Constant spacing control design

**Solution.** For individual vehicle stability, it must be  $k_v, k_p > 0$ .

Rewrite  $H(s)$  as

$$H(s) = \underbrace{\frac{k_p}{s^2 + k_v s + k_p}}_{H_1(s)} \underbrace{\left( \frac{k_v}{k_p} s + 1 \right)}_{H_2(s)}$$



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In order to have  $\|H_1\|_\infty < 1$ , the damping must be larger than 0.707, i.e.,

$$\frac{k_v}{2\sqrt{k_p}} \geq 0.707 \Rightarrow k_v \geq 1.4141\sqrt{k_p}$$

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$$\frac{k_v}{2\sqrt{k_p}} \geq 0.707 \Rightarrow k_v \geq 1.4141\sqrt{k_p}$$

$H_2$  has to be below one up to the resonant frequency  $\sqrt{k_p}$ . Hence,

$$\frac{k_p}{k_v} \geq \sqrt{k_p} \Rightarrow \sqrt{k_p} > k_v$$

# Constant spacing control design

***Solution.*** In conclusion, the following conditions have to be satisfied

$$k_v \geq 1.4141\sqrt{k_p}, \quad \sqrt{k_p} > k_v, \quad k_p, k_v > 0$$

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## Constant spacing control design

**Solution.** In conclusion, the following conditions have to be satisfied

$$k_v \geq 1.4141\sqrt{k_p}, \quad \sqrt{k_p} > k_v, \quad k_p, k_v > 0$$

*String stability can't be achieved with a PD controller based on constant spacing policy*

**Question.** Can string stability be achieved with any other *linear controller*?

## Constant spacing control design

**Solution.** In conclusion, the following conditions have to be satisfied

$$k_v \geq 1.4141\sqrt{k_p}, \quad \sqrt{k_p} > k_v, \quad k_p, k_v > 0$$

*String stability can't be achieved with a PD controller based on constant spacing policy*

**Question.** Can string stability be achieved with any other *linear controller*?

**Answer.** No, unless. . . .

# Lecture content

- 1 Main Concepts in ACC
- 2 Operating modes
- 3 Control design in vehicle following mode
  - 1 requirements
  - 2 constant spacing
  - 3 constant time gap

# Constant time gap control design

In Constant Time Gap (CTG) control policy, the desired inter-vehicle distance varies with the speed



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Define the *spacing error* as

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Consider a double integrator model for the vehicle and the control law

$$u_i = -\frac{1}{h} (\dot{\epsilon}_i + \lambda\delta_i)^2$$

---

<sup>2</sup>Chien, 1993

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The error dynamics become

$$\dot{\delta}_i = -\lambda\delta_i$$

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Analyze the string stability property of the CTG policy

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Combine the first order vehicle model and the control law  $u_i = -\frac{1}{h}(\dot{\epsilon}_i + \lambda\delta_i)$ . Obtain

$$\tau\ddot{x}_i + \ddot{x}_i = -\frac{1}{h}(\dot{\epsilon}_i + \lambda\delta_i)$$

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Solve for  $\epsilon_i$  and replace in  $\delta_i - \delta_{i-1} = \epsilon_i - \epsilon_{i-1} + h\dot{\epsilon}_i$  to obtain

$$H(s) = \frac{\delta_i}{\delta_{i-1}} = \frac{s + \lambda}{h\tau s^3 + hs^2 + (1 + \lambda h) + \lambda}$$

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**Problem.** Find condition on  $\tau$  and  $h$  such that  $\|H\|_\infty \leq 1$



# Constant time gap control design

## Theorem

$\|H\|_{\infty} \leq 1$  if and only if  $h \geq 2\tau$ .

# Constant time gap control design

## Proof

Consider the transfer function

$$H(s) = \frac{\delta_i}{\delta_{i-1}} = \frac{s + \lambda}{h\tau s^3 + hs^2 + (1 + \lambda h) + \lambda}$$

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Substitute  $s = j\omega$

$$H(s)|_{s=j\omega} = \frac{j\omega + \lambda}{(\lambda - h\omega^2) + j\omega(1 + \lambda h - \tau h\omega^2)}$$

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Calculate

$$|H(s)|^2 = \frac{\omega^2 + \lambda^2}{(\lambda - h\omega^2)^2 + \omega^2(1 + \lambda h - \tau h\omega^2)^2}$$

# Constant time gap control design

## Proof (Cont.)

Imposing  $|H(j\omega)| \leq 1$  leads to

$$\omega^2 + \lambda^2 \leq (\lambda - h\omega^2)^2 + \omega^2 (1 + \lambda h - \tau h\omega^2)^2$$

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Squaring the terms in parentheses and rearranging

$$\tau^2 h^2 \omega^4 + (h^2 - 2\tau h - 2\tau \lambda h^2) \omega^2 + \lambda^2 h^2 \geq 0$$

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Study positiveness of  $a\omega^4 + b\omega^2 + c$ . Rewrite

$$\begin{aligned} a\omega^4 + b\omega^2 + c &= a \left( \omega^4 + 2\frac{b}{2a}\omega^2 + \frac{c}{a} \right) \\ &= a \left[ \left( \omega^2 + \frac{b}{2a} \right)^2 + \frac{4ac - b^2}{4a^2} \right] \end{aligned}$$

# Constant time gap control design

## Proof (Cont.)

Hence  $a\omega^4 + b\omega^2 + c > 0$  if



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- ❶  $a, b, c > 0$
- ❷  $b < 0, a > 0, c > 0$  and  $4ac - b^2 > 0$ , i.e.,  $b^2 - 4ac < 0$

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Distinguish the following two cases

- ❶  $b > 0$  corresponds to  $h^2 - 2\tau h - 2\lambda\tau h^2 > 0$ . Hence

$$h > \frac{2\tau}{1 - 2\lambda\tau}.$$

For small  $\lambda$ , this is possible if  $h > 2\tau$ .

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- ❷  $b < 0, a > 0, c > 0$  and  $b^2 - 4ac < 0$  corresponds to

$$(h^2 - 2\tau - 2\lambda\tau h^2)^2 - 4\tau^2 h^4 \lambda^2$$

# Constant time gap control design

## Proof (Cont.)

- ② Simplify to obtain

By relaxing the inequality in  $a\omega^4 + b\omega^2 + c > 0$ ,  $h \geq 2\tau$  follows.



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## Proof (Cont.)

② Simplify to obtain

$$\lambda < \frac{4\tau h - h^2 - 4\tau^2}{8\tau^2 h - 4\tau h^2},$$

$$\lambda < \frac{-(2\tau - h)^2}{4\tau h (2\tau - h)}.$$

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$$\lambda < \frac{-(2\tau - h)^2}{4\tau h (2\tau - h)}.$$

Since  $\lambda > 0$ , it must be  $h > 2\tau$ .

By relaxing the inequality in  $a\omega^4 + b\omega^2 + c > 0$ ,  $h \geq 2\tau$  follows.

By 1) and 2) also follows that if  $h \geq 2\tau$  a  $\lambda$  can be found such that  $|H(j\omega)| < 1$ . □



# Constant time gap control design

Note that

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# Lecture content

- ➊ Main Concepts in ACC
- ➋ Operating modes
- ➌ Control design in vehicle following mode
  - ➊ requirements
  - ➋ constant spacing
  - ➌ constant time gap
- ➍ Transition logics

# Transition logics

## Transition scenarios

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**Example.** A car is initially operating in speed control mode at 30 m/s, when a stalled car is detected 100 *m* ahead. The parameters of the CTG law are  $\lambda = 1$ ,  $h = 1$  s,  $L = 5$  m.

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$$\begin{aligned}\delta_i &= x_i - x_{i-1} + L + h\dot{x}_i, \\ &= -100 + 5 + 30 = -65,\end{aligned}$$

and the initial relative velocity is  $\dot{\epsilon}_i = \dot{x}_i - \dot{x}_{i-1} = 30$ .

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and the initial relative velocity is  $\dot{\epsilon}_i = \dot{x}_i - \dot{x}_{i-1} = 30$ . According to the CTG law  $u = -\frac{1}{h}(\dot{\epsilon}_i + \lambda\delta_i)$ , the acceleration  $u = -1(30 - 65) = \mathbf{35\ m/s^2}$  is demanded.

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A *range-range rate diagram*<sup>2</sup> can be used

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<sup>2</sup>Fancher and Bareket, 1994

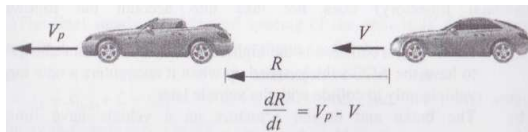
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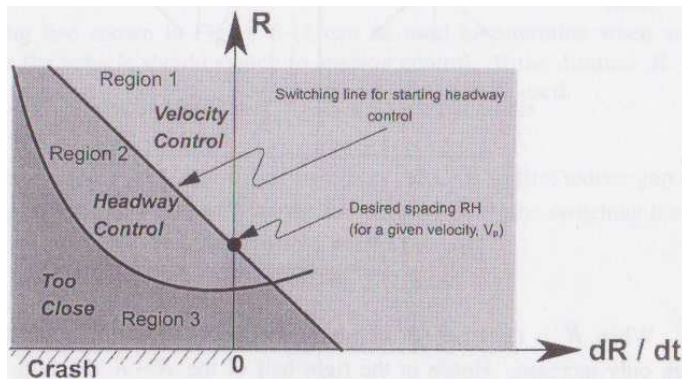
A *range-range rate diagram* can be used

Define range  $R$  and range rate  $\dot{R}$  as in the picture below



# Transition logics

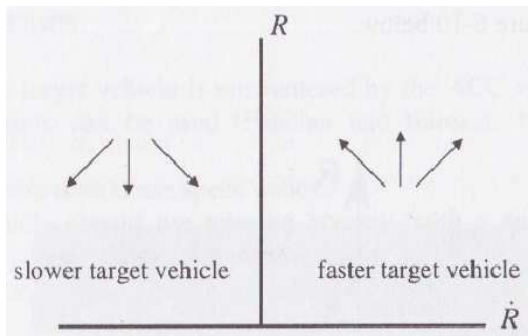
A typical  $R - \dot{R}$  diagram is<sup>2</sup>



<sup>2</sup>Fancher and Bareket, 1994

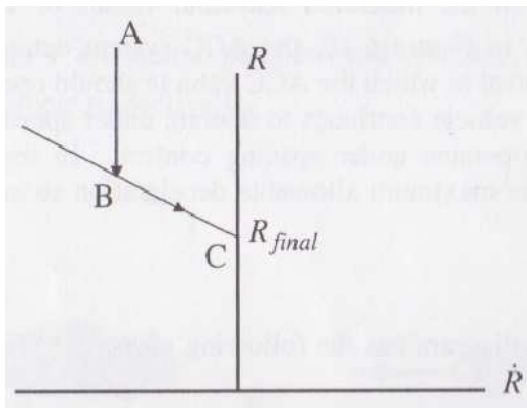
# Transition logics

The possible directions of motion are



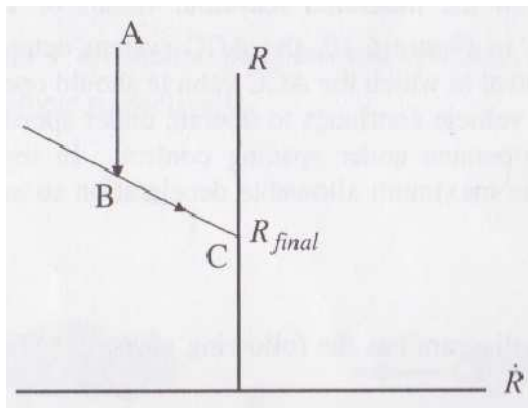
# Transition logics

The line separating the speed and spacing control regions is given by  $R = -T\dot{R} + R_{final}$



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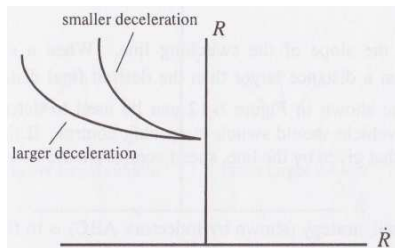
The control law on this transitional trajectory is given by

$$u = -k_p (x - R) - k_d (\dot{x} - \dot{R})$$



# Transition logics

During constant deceleration the trajectory in the  $R - \dot{R}$  plane is a parabola with equation  $R = R_{amn} + \frac{\dot{R}^2}{2D}$



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